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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hans Josef Stauss

Serial No.: 09/101,413 NOV 23 1998

Art Unit: Not Yet Assigned

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Examiner: Not Yet Assigned

For: *Immunotherapy Using Cytotoxic T Lymphocytes (CTL)*Assistant Commissioner for Patents  
Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97, applicant submits an Information Disclosure Statement, including six (6) pages of Form 1449 and copies of the documents cited therein. The document marked by an asterisk (\*) will be filed in a Supplemental Information Disclosure Statement.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) prior to a first Office Action on the merits. It is believed that no fee is required with this submission. However, should a fee be required, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 01-2507. To facilitate this process, Applicant encloses a duplicate of this document.

### United States Patents

<u>Number</u>	<u>Issue Date</u>	<u>Patentee</u>	<u>Class/Subclass</u>
US 5,081,029	1/14/92	Zarling, et al.	435/172.3
US 5,359,046	10/25/94	Capon, et al.	536/23.4

### Foreign Patents and Applications

<u>Number</u>	<u>Publication Date</u>	<u>Country/Region</u>
WO 91/04317	4/4/91	PCT
WO 9201459	2/6/92	PCT
WO 92/05794	4/16/92	PCT
WO 93/17095	9/2/93	PCT
WO 94/21287	9/29/94	PCT
WO 95/04817	2/16/95	PCT
WO 95/18969	7/13/95	PCT
WO 95/22561	8/24/95	PCT
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EP-360205	3/28/90	EP
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EP-523948	1/20/93	EP
J61176529	8/8/86	Japan

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\*Yang, et al., "Major histocompatibility complex (MHC)-encoded HAM2 is necessary for antigenic peptide loading onto class I MHC molecules" *J. Biol. Chem.* 267:11669-11672 (1992).

### Remarks

This statement should not be interpreted as a representation that an exhaustive search has been conducted or that no better art exists. Moreover, applicant invites the Examiner to make an independent evaluation of the cited art to determine its materiality and relevance to the subject matter of the present application. Applicant is of the opinion that his claims patentably distinguish over the prior art referred to herein, either alone or in combination.

Respectfully submitted,



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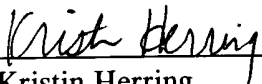
Date: November 20, 1998

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I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Kristin Herring